

What is a Functor?

Todd Trimble

Western Connecticut State University
Department of Mathematics

Outline of talk

- ▶ Back-story

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- ▶ Classical CT
 1. Adjoints and actions
 2. Adjoint lifting theorem
 3. Beck's "crude" monadicity theorem
 4. Left adjoint monads " $=$ " right adjoint comonads

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 2. Comonadic over slice
 3. Comonadic over Set

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- ▶ What are functors?

Adjoints and actions

Let $T : D \rightarrow D$ be a monad; let $G : C \rightarrow D$ have a left adjoint $F : D \rightarrow C$. The following are equivalent:

- ▶ Left T -actions $\alpha : TG \rightarrow G$.
- ▶ Monad maps $\theta : T \rightarrow GF$.
- ▶ Right T -actions $\beta : FT \rightarrow F$.

Special case: $T = UF$, $\theta = \text{id}$. The left T -action $\alpha : TU \rightarrow U$ is

$$U\varepsilon : UFU \rightarrow U$$

and the right T -action $\beta : FT \rightarrow F$ is

$$\varepsilon F : FUF \rightarrow F$$

Adjoint lifting theorem

Let $T : D \rightarrow D$ be a monad. Category of algebras $U : D^T \rightarrow D$.

- ▶ Lifts of functors $G : C \rightarrow D$ through $U : D^T \rightarrow D$,

$$\begin{array}{ccc} & & D^T \\ & \nearrow \hat{G} & \downarrow U \\ C & \xrightarrow{G} & D, \end{array}$$

are equivalent to T -algebra structures $\alpha : TG \rightarrow G$.

- ▶ If in addition C has reflexive coequalizers, then \hat{G} has a left adjoint \hat{F} iff G has a left adjoint F .

Construction: $\hat{F}(d, \alpha : Td \rightarrow d) = \text{coequalizer in } C:$

$$FTd \begin{array}{c} \xrightarrow{F\alpha} \\ \xrightarrow{\beta d} \end{array} Fd \longrightarrow "F \circ_T d"$$

“Crude” Monadicity Theorem

Theorem: (Beck) A functor $G : C \rightarrow D$ is monadic if

- ▶ G has a left adjoint F (and then GF is the monad T : canonical left action $G\varepsilon : GFG \rightarrow G$);

$$\begin{array}{ccc} & & D^T \\ & \nearrow \hat{G} & \downarrow U \\ C & \xrightarrow{G} & D, \end{array}$$

- ▶ C has reflexive coequalizers ($\hat{F} \dashv \hat{G}$), and G preserves them (unit $\hat{\eta} : \text{id} \rightarrow \hat{G}\hat{F}$ an isomorphism; note (1));
- ▶ G reflects isos (counit $\hat{\varepsilon} : \hat{F}\hat{G} \rightarrow \text{id}$ an isomorphism; note (2)).

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Crudely monadic functors compose. Even better:

Theorem: If $U : C \rightarrow D$ is crudely monadic and $V : D \rightarrow E$ is monadic, then $VU : C \rightarrow E$ is monadic.

Left adjoint monads = right adjoint comonads

Theorem: (Eilenberg-Moore, 1965)

- ▶ If $M : D \rightarrow D$ is a monad with a right adjoint $K : D \rightarrow D$, then K carries a comonad structure mated to the monad structure on M ,

$$\frac{\mu : MM \rightarrow M}{\delta : K \rightarrow KK}, \quad \frac{\eta : \text{id} \rightarrow M}{\varepsilon : K \rightarrow \text{id}}$$

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$$\frac{\mu : MM \rightarrow M}{\delta : K \rightarrow KK}, \quad \frac{\eta : \text{id} \rightarrow M}{\varepsilon : K \rightarrow \text{id}}$$

- ▶ M -algebras are equivalent to K -coalgebras,

$$\frac{\alpha : Md \rightarrow d}{\gamma : d \rightarrow Kd}$$

- ▶ If $(F : D \rightarrow C) \dashv (U : C \rightarrow D) \dashv (G : D \rightarrow C)$, then $M = UF \dashv UG = K$ and $\text{Alg}_{UF} \simeq \text{Coalg}_{UG}$.

Example: C-sets

C a category: $(C_0, C_1, d_0 = \text{dom} : C_1 \rightarrow C_0, d_1 = \text{cod} : C_1 \rightarrow C_0)$.

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- ▶ Left adjoint $F_C \dashv U_C$:

$$\left(\begin{array}{c} X \\ \downarrow \\ C_0 \end{array} \right) \xrightarrow{F_C} \sum_{c:C_0} Xc \cdot C(c, -)$$

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- ▶ $U_C F_C X$ in Set/C_0 is the family indexed over $d : C_0$:

$$\left(\sum_{c:C_0} \sum_{f:c \rightarrow d} X_c \right)_{d:C_0} = \left(\sum_{f:C_1} X(d_0 f) \right)_{d=d_1 f} = \sum_{d_1} d_0^* X$$

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- ▶ Monad $U_C F_C = \sum_{d_1} d_0^*$ has a right adjoint $K_C = \prod_{d_0} d_1^*$.

Example: C-sets

Proposition: The functor $U_C : \text{Set}^C \rightarrow \text{Set}/C_0$ is crudely monadic:

- ▶ Has a left adjoint $F_C : \text{Set}/C_0 \rightarrow \text{Set}^C$;
- ▶ $U_C : \text{Set}^C \rightarrow \text{Set}/C_0$ preserves reflexive coequalizers (colimits in Set^C are computed “pointwise”);
- ▶ U_C reflects isos (a natural transformation is invertible if its components are).

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By Eilenberg-Moore (1965), since $M_C = U_C F_C$ has a right adjoint K_C , the functor U_C is also comonadic: $F_C \dashv U_C \dashv G_C$:

$$\left(\begin{array}{c} X \\ \downarrow \\ C_0 \end{array} \right) \xrightarrow{G_C} \prod_{d:C_0} Xd^{C(-,d)}$$

C-sets: crude comonadicity and Polyfun

Proposition: $U_C : \text{Set}^C \rightarrow \text{Set}/C_0$ is crudely comonadic.

Proof: Know U_C is comonadic (right adjoint G_C , reflects isos).
 U_C also preserves coreflexive equalizers, e.g., by $F_C \dashv U_C$.

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Proposition: $\Sigma_{C_0} : \text{Set}/C_0 \rightarrow \text{Set}$ is comonadic, in fact crudely so.

Proof: The comonad is $C_0 \times - : \text{Set} \rightarrow \text{Set}$. Function $X \rightarrow C_0 =$
coalgebra $X \rightarrow C_0 \times X$. Also Σ_{C_0} preserves connected limits, in
particular coreflexive equalizers.

Corollary: The composite

$$\text{Set}^C \xrightarrow{U_C} \text{Set}/C_0 \xrightarrow{\Sigma_{C_0}} \text{Set}$$

is (crudely) comonadic.

C-sets as coalgebras

From $U_C \dashv G_C$ and $\Sigma_{C_0} \dashv C_0^*$, we have $\Sigma_{C_0} U_C \dashv G_C C_0^*$, hence a comonad $p = \Sigma_{C_0} U_C G_C C_0^*$:

$$\begin{array}{ccccccc}
 & & & \text{Set}^C & & & \\
 & & & \nearrow & & \searrow & \\
 & & G_C & & U_C & & \\
 \text{Set} & \xrightarrow{C_0^*} & \text{Set}/C_0 & \xrightarrow{K_C} & \text{Set}/C_0 & \xrightarrow{\Sigma_{C_0}} & \text{Set} \\
 & & \searrow & & \nearrow & & \\
 & & d_1^* & & \Pi_{d_0} & & \\
 & & & \text{Set}/C_1 & & &
 \end{array}$$

so that the polynomial functor

$$p = \left(\text{Set} \xrightarrow{C_1^*} \text{Set}/C_1 \xrightarrow{\Pi_{d_0}} \text{Set}/C_0 \xrightarrow{\Sigma_{C_0}} \text{Set} \right)$$

is a comonad, and

C-sets as coalgebras

Corollary: The category of p -coalgebras is Set^C , with comonadic functor

$$\text{Set}^C \xrightarrow{U_C} \text{Set}/C_0 \xrightarrow{\Sigma_{C_0}} \text{Set}$$

$$(F : C \rightarrow \text{Set}) \longmapsto \sum_{c:C_0} Fc$$

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Calculate $p(S)$:

$$\text{Set} \xrightarrow{C_0^*} \text{Set}/C_0 \xrightarrow{K_C} \text{Set}/C_0 \xrightarrow{\Sigma_{C_0}} \text{Set}$$

$$S \longmapsto (C_0^* S)_d = (S)_d \longmapsto \sum_{c:C_0} \prod_{d:C_0} S^{C(c,d)}$$

C-sets as coalgebras

We have

$$\sum_{c:C_0} \prod_{d:C_0} S^{C(c,d)} \xrightarrow{\sim} \sum_{c:C_0} S^{\sum_{d:C_0} C(c,d)} \xrightarrow{\sim} \sum_{c:C_0} S^{d_0^*(c)}$$

so that p -coalgebra structures take the form

$$S \xrightarrow{\gamma} \sum_{c:C_0} S^{d_0^*(c)}.$$

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Identify S with a category $\text{El}(F)$ of $F : C \rightarrow \text{Set}$: the composite

$$S \rightarrow \sum_{c:C_0} S^{d_0^*(c)} \rightarrow \sum_{c:C_0} 1 = C_0$$

gives a fibering $S \rightarrow C_0$, and for each $f : c \rightarrow d$, the corresponding map $S_f : S_c \rightarrow S_d$ of fibers takes $s \in S_c$ to $\gamma(s)(f) \in S_d$.

What are functors?

Notation: Polynomial comonad $p : \text{Set} \rightarrow \text{Set}$ for C , $q : \text{Set} \rightarrow \text{Set}$ for D . Sets $p1, q1$ for C_0, D_0 . Left adjoint monad M_p on Set/C_0 , right adjoint comonad K_p . Coalgebra categories $\text{Set}_p = \text{Set}^C$.

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A functor $F : C \rightarrow D$ is ...

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- ▶ A function $f : p1 \rightarrow q1$ together with a lift $F : \text{Set}^C \rightarrow \text{Set}^D$ over $\Sigma_f : \text{Set}/p1 \rightarrow \text{Set}/q1$:

$$\begin{array}{ccc} \text{Set}_p & \xrightarrow{F} & \text{Set}_q \\ \downarrow U_p & & \downarrow U_q \\ \text{Set}/p1 & \xrightarrow{\Sigma_f} & \text{Set}/q1 \end{array}$$

(same as an M_q -algebra structure on $\Sigma_f U_p$; same as a K_q -coalgebra structure on $\Sigma_f U_p$).

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- ▶ This is the concept of *cofunctor* from C to D .

What are functors?

A functor is ...

- ▶ (Viewing categories as monads in Span) A function $f : C_0 \rightarrow D_0$ together with a 2-cell in Span:

$$\begin{array}{ccc}
 C_0 & \overset{\sim}{\longrightarrow} & C_0 \\
 \downarrow f & \swarrow \psi & \downarrow f \\
 D_0 & \overset{\sim}{\longrightarrow} & D_0
 \end{array}
 \qquad
 \begin{array}{ccc}
 \text{Set}/C_0 & \xrightarrow{M_p} & \text{Set}/C_0 \\
 \downarrow \Sigma_f & \swarrow \psi & \downarrow \Sigma_f \\
 \text{Set}/D_0 & \xrightarrow{M_q} & \text{Set}/D_0
 \end{array}$$

compatible with monad structures, e.g.,

$$\begin{array}{ccc}
 \Sigma_f M_p M_p & \xrightarrow{\psi M_p} & M_q \Sigma_f M_p & \xrightarrow{M_q \psi} & M_q M_q \Sigma_f \\
 \Sigma_f \mu_p \downarrow & & & & \downarrow \mu_q \Sigma_f \\
 \Sigma_f M_p & \xrightarrow{\theta} & & & M_q \Sigma_f
 \end{array}$$

What are functors? Various answers

A functor is ...

- ▶ A pair $(f : p_1 \rightarrow q_1, \psi : \Sigma_f M_p \rightarrow M_q \Sigma_f)$ satisfying conditions;

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- ▶ A pair $(f : p1 \rightarrow q1, \gamma : f^* U_q \rightarrow K_p f^* U_q)$ satisfying...
 K_p -coalgebra conditions on $f^* U_q$!

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- ▶ A pair $(f : p1 \rightarrow q1, \gamma : f^* U_q \rightarrow K_p f^* U_q)$ satisfying...
 K_p -coalgebra conditions on $f^* U_q!$

Interpretation: K_p -coalgebra map gives a lift $\text{Set}^F : \text{Set}^D \rightarrow \text{Set}^C$:

$$\begin{array}{ccc} \text{Set}_q & \xrightarrow{\text{Set}^F} & \text{Set}_p \\ U_q \downarrow & & \downarrow U_p \\ \text{Set}/D_0 & \xrightarrow{f^*} & \text{Set}/C_0 \end{array}$$

(Set^F is a left/right adjoint, since f^* is)

What are functors? Enter Beck-Chevalley

$$\begin{array}{ccccc} & & \text{Set}/D_1 & & \\ & & \nearrow^{d_1^*} & \searrow^{\Pi_{d_0}} & \\ & \text{Set}/D_0 & \xrightarrow{K_q} & \text{Set}/D_0 & \\ & \downarrow^{f^*} & \swarrow^{\theta} & \downarrow^{f^*} & \\ \text{Set} & \xrightarrow{C_0^*} & \text{Set}/C_0 & \xrightarrow{K_p} & \text{Set}/C_0 \xrightarrow{\Sigma_{C_0}} \text{Set} \end{array}$$

The diagram illustrates a Beck-Chevalley square. It consists of several nodes and arrows:

- Top node: Set/D_1
- Middle-left node: Set/D_0
- Middle-right node: Set/D_0
- Bottom-left node: Set
- Bottom-middle node: Set/C_0
- Bottom-right node: Set/C_0
- Far-right node: Set

Arrows and their labels:

- $\text{Set} \xrightarrow{C_0^*} \text{Set}/C_0$
- $\text{Set}/C_0 \xrightarrow{K_p} \text{Set}/C_0$
- $\text{Set}/C_0 \xrightarrow{\Sigma_{C_0}} \text{Set}$
- $\text{Set}/D_0 \xrightarrow{K_q} \text{Set}/D_0$
- $\text{Set}/D_0 \xrightarrow{d_1^*} \text{Set}/D_1$
- $\text{Set}/D_1 \xrightarrow{\Pi_{d_0}} \text{Set}/D_0$
- $\text{Set}/D_0 \downarrow^{f^*} \text{Set}/C_0$
- $\text{Set}/C_0 \downarrow^{f^*} \text{Set}/C_0$
- A double-lined arrow labeled θ points from the top-right Set/D_0 to the bottom-middle Set/C_0 .

What are functors? Enter Beck-Chevalley

$$\begin{array}{ccccc}
 & & \text{Set}/D_1 & & \\
 & & \nearrow d_1^* & \searrow \Pi_{d_0} & \\
 & \text{Set}/D_0 & \xrightarrow{K_q} & \text{Set}/D_0 & \\
 & \downarrow f^* & \swarrow \theta & \downarrow f^* & \\
 \text{Set} & \xrightarrow{C_0^*} & \text{Set}/C_0 & \xrightarrow{K_p} & \text{Set}/C_0 \xrightarrow{\Sigma_{C_0}} \text{Set}
 \end{array}$$

D_0^* is a diagonal arrow from Set to Set/D_0 .

$$\begin{array}{ccccccc}
 & & \text{Set}/D_1 & & & & \\
 & & \nearrow d_1^* & \searrow \Pi_{d_0} & & \searrow g^* & \\
 & \text{Set}/D_0 & \xrightarrow{K_q} & \text{Set}/D_0 & & \text{Set}/f^*D_1 & \\
 & \downarrow f^* & \swarrow \theta & \downarrow f^* & & \swarrow \Pi_h & \\
 \text{Set} & \xrightarrow{C_0^*} & \text{Set}/C_0 & \xrightarrow{K_p} & \text{Set}/C_0 & \xrightarrow{\Sigma_{C_0}} & \text{Set}
 \end{array}$$

D_0^* is a diagonal arrow from Set to Set/D_0 .

What are functors?

Condensing the previous diagram, let us write

$$\begin{array}{ccccccc} & & \text{Set}/f^*D_1 & & & & & & & & f^*D_1 & \xrightarrow{g} & D_1 \\ & & \Downarrow \theta & \nearrow \Pi_h & & & & & & & \downarrow h & \lrcorner & \downarrow d_0 \\ \text{Set} & \xrightarrow{(f^*D_1)^*} & \text{Set}/C_1 & \xrightarrow{\Pi_{d_0}} & \text{Set}/C_0 & \xrightarrow{\Sigma_{C_0}} & \text{Set} & & & & C_0 & \xrightarrow{f} & D_0 \end{array}$$

The “top” Polyfun is based on a bundle h obtained as a pullback

$$\begin{array}{ccccc} p & \xleftarrow{\theta} & f^*q & \xrightarrow{\quad} & q \\ & \searrow & \downarrow h & \lrcorner & \downarrow \\ & & p(1)y & \xrightarrow{f} & q(1)y \end{array}$$

Statement: A functor from p to q consists of $f : p(1) \rightarrow q(1)$ together with a p -coalgebra structure on f^*q and a p -coalgebra map θ making the triangle commute. “Lens-like.”

Thank you!

Notes

Note 1: To prove $\eta : \text{id} \rightarrow \hat{G}\hat{F} : D^T \rightarrow D^T$ is an iso, it suffices to prove

$$U\eta : U \rightarrow U\hat{G}\hat{F} = G\hat{F}$$

is an iso, since $U : D^T \rightarrow D$ reflects isos. For an object $(d, \alpha : Td \rightarrow d)$ of D^T , we have

$$FTd \begin{array}{c} \xrightarrow{F\alpha} \\ \xrightarrow{\beta d} \end{array} Fd \xrightarrow{\text{coeq}} \hat{F}(d, \alpha).$$

Since G is assumed to preserve reflexive coequalizers,

$$GFTd \begin{array}{c} \xrightarrow{GF\alpha} \\ \xrightarrow{G\beta d} \end{array} GFd \xrightarrow{\text{coeq}} G\hat{F}(d, \alpha).$$

But this coincides with

$$TTd \begin{array}{c} \xrightarrow{T\alpha} \\ \xrightarrow{\mu d} \end{array} Td \xrightarrow{\alpha = \text{coeq}} d.$$

Notes

Note 2: The counit $\hat{F}\hat{G} \rightarrow \text{id}$ is given by

$$\begin{array}{ccc} FTGc & \begin{array}{c} \xrightarrow{FG\varepsilon_C} \\ \xrightarrow{\varepsilon FGc} \end{array} & FGc \\ & & \searrow \varepsilon_C \\ & & \hat{F}\hat{G}c \\ & & \downarrow \\ & & c \end{array}$$

Since G is assumed to reflect isos, it suffices that $G\hat{F}\hat{G} \rightarrow G$ be an iso. Since G preserves reflexive coequalizers, the top sequence of

$$\begin{array}{ccc} GFTGc & \begin{array}{c} \xrightarrow{GFG\varepsilon_C} \\ \xrightarrow{G\varepsilon FGc} \end{array} & GFGc \\ & & \searrow G\varepsilon_C \\ & & G\hat{F}\hat{G}c \\ & & \downarrow \\ & & Gc \end{array}$$

is a coequalizer, but then again, as is well-known, the lower sequence is a split coequalizer (QED):

$$GFTGc \begin{array}{c} \xrightarrow{GFG\varepsilon_C} \\ \xrightarrow{\mu Gc} \end{array} GFGc \xrightarrow{G\varepsilon_C} Gc.$$